

Form #E-17

Ethics Activity Report

Boards are encouraged to publish periodic Code of Ethics activity reports. This model is a suggestion, and Boards may choose to provide other relevant information.*

Number of ethics complaints filed from _____ to _____
Date Date

	Article(s) of the Code alleged to have been violated	Referred by Grievance Committee for hearing		Article(s) found by Hearing Panel to have been violated	Appeal of Hearing Panel decision		Board of Directors final action	Discipline imposed (if any)
		Yes	No		Yes	No		
Complaint #1								
Complaint #2								
Complaint #3								
Complaint #4								
Complaint #5								
Complaint #6								
Complaint #7								
Complaint #8								
Complaint #9								
Complaint #10								

*No report shall include the names of individuals or firms.

(Revised 11/00)